

GOVERNMENT OF UNITED REPUBLIC OF TANZANIA



**PRESIDENT'S OFFICE
REGIONAL ADMINISTRATION
AND LOCAL GOVERNMENT (PORALG)**



**THE MSIMBAZI BASIN DEVELOPMENT PROJECT
DRAFT ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

December 2021

**THE UNITED REPUBLIC OF TANZANIA
TANZANIA RURAL AND URBAN ROADS AGENCY (TARURA)**

THE MSIMBAZI BASIN DEVELOPMENT PROJECT

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of United Republic of Tanzania through President's Office Regional Administration and Local Government (PO-RALG) is planning to implement the Msimbazi Basin Development Project through Tanzania Rural and Urban Roads Agency (TARURA) with Tanzania National Roads Agency (TANROADS) and various Local Government Authorities (LGAs) being the implementing Agencies that will be coordinated by the Dar Es Salaam City Council (DCC).
2. The Government of United Republic of Tanzania through PO-RALG/TARURA and TANROADS, with the DCC will implement material measures and actions so that the Msimbazi Basin Development Sub-projects are implemented in accordance with the World Bank's Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out a summary of the material measures and actions.
3. Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions set out in the Strategic Environmental and Social Assessment (SESA); Environmental and Social Management Framework (ESMF), Resettlement Policy Framework (RPF), Environmental and Social Impact Assessment (ESIA), Environmental and Social Management Plan (ESMP), Resettlement Action Plan (RAP), Stakeholders Engagement Plan (SEP), and Labor Management Procedures (LMP) and any other documents that will be identified for the Project will be developed.
4. The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. Government of the United Republic of Tanzania through TARURA, TANROADS and various LGAs will be responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1 above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the World Bank by the Government of the United Republic of Tanzania through TARURA WBCU as required by the ESCP and the conditions of the legal agreement, and the World Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. This ESCP will be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, Government of the United Republic of Tanzania through TARURA WBCU will agree to the changes with the World Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the World Bank and the Government of the United Republic of Tanzania through Ministry PO-RALG and TARURA will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Government of the United Republic of Tanzania shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include: environmental, health, and safety impacts, labour influx, Sexual exploitation and abuse and/or Sexual harassment.
8. Below is the ESCP table that summarizes the Material Measures and Actions to Mitigate the Msimbazi Project Potential Environmental and Social Risks and Impacts; the table provides the activity/Relevant ESS, Responsible authorities as well as implementation time frame.

THE MSIMBAZI BASIN DEVELOPMENT PROJECT ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) DATE: September 2021				
Summary of the Material Measures and Actions to Mitigate the Msimbazi Development Project's Potential Environmental and Social Risks and Impacts		Timeframe	Responsibility / Authority and Resources/Funding Committed	Date of Completion
ESCP Monitoring and Reporting				
A	REGULAR REPORTING: Prepare and submit to the Bank regular monitoring reports on the environmental, social, labor, health and safety (ESHS) performance of the Project, including but not limited to implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP including the RAP Implementation (to be reported separately), stakeholder engagement activities, and the functioning of the grievance mechanism(s). Independent resettlement consultant/consultancy firm will be engaged to undertake a Resettlement Completion Audit Report for each RAP and address any gaps identified to the satisfaction of the Bank.	Quarterly throughout Project implementation.	Environmental and Social Team at the PCU in PORALG, DCC-PIU, TANROADs PIU Funding from Project's budget. ¹	Throughout the Project cycle.
B	REVIEW AND REVISION: The review and revision of all environmental and social framework instruments will be carried out after one year after approval, including the ESCP itself to assess how well it covers the Project needs.	Once after one year after approval.	Environmental and Social Team at the PCU in PORALG, DCC-PIU, TANROADs	One Year after approval
INCIDENTS AND ACCIDENTS NOTIFICATION: Promptly notify the Bank of any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including, <i>among others</i> , construction accidents, pollution, sexual discrimination, allegations of sexual abuse, exploitation, or harassment. Provide sufficient details regarding the incident or accident, indicating immediate measures taken to address it, and include information provided by any contractor and supervising entity, as appropriate. Subsequently, at the Bank's request, prepare a report on the incident or accident,		Promptly after taking notice of the incident or accident, (Notify the TTL of the World Bank not later than 24 hours after notification of the accident/incident).	Respective Project Coordinator at the PCU in PORALG, DCC-PIU, TANROADs and the World Bank	Throughout the Project cycle

and propose measures to prevent its recurrence.			
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¹Funding from project's budget applies all over.

Summary of the Material Measures and Actions to Mitigate the Msimbazi Development Project's Potential Environmental and Social Risks and Impacts		Timeframe	Responsibility / Authority and Resources/Funding Committed	Date of Completion (Status)
SUMMARY ASSESSMENT				
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS				
1.1	<p>ORGANIZATIONAL STRUCTURE: Establish and maintain an organizational structure that is adequate to support management of E&S risks including resources to support the management of ESHS risks and impacts of the Project.</p> <p>A social specialist with experience on Grievance Handling and response shall be part of this organizational structure.</p>	<p>Prior to loan effectiveness.</p> <p>Maintained throughout Project implementation.</p>	<p>PCU at PORALG, DCC-PIU, TANROADs The PCU in PORALG, DCC-PIU and TANROADs</p>	<p>Prior to loan effectiveness.</p> <p>E&S Teams to be retained throughout Project lifecycle.</p>
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT: Prepare, consult, update, disclose, adopt, and implement policy frameworks and management plans - Strategic Environmental and Social Assessment (SESA); Environmental and Social Management Framework (ESMF) and Environmental and Social Impact Assessment and Environmental and Social Management Plans (ESIA/ESMP) - that have been prepared for the Project, in a manner acceptable to the Bank.</p>	<p>During planning and preparation phase of specific sub-projects.</p>	<p>The Environmental and Social team at the PCU in PORALG, DCC-PIU and TANROADs PCU</p>	<p>Prior to commencing of Sub-projects activities.</p> <p>Continuously along the Project cycle.</p>
MANAGEMENT TOOLS AND INSTRUMENTS:				

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1.3	Assess all subprojects and Project activities in accordance with the ESMF for the Project and thereafter, prepare, consult, adopt, disclose and implement any Environmental and Social Management Plans (ESMP) required for the respective subprojects or Project activities, in accordance with the ESSs, the ESMF and in a manner acceptable to the Bank.	For each subproject and Project activity requiring the preparation of an ESMP, this shall be completed, in a form and substance acceptable to the Bank, before launching of the corresponding bidding process. Once approved, the ESMPs shall be implemented throughout implementation of the respective subprojects or respective Project activities.	The PCU at PORALG, DCC-PIU and TANROADS PIU	Some of the documents Prior to Project Appraisal as well as Prior to commencing of Sub-projects activities for the specific E&S documents
1.4	MANAGEMENT OF CONTRACTORS: Develop and implement procedures for managing contractors and subcontractors. Incorporate the relevant aspects of this ESCP, including the relevant E&S instruments and/or plans, and labor and working conditions, into the environmental, labor, social, health and safety specifications of the procurement documents and contracts of contractors. Contractors shall ensure that subcontractors comply with these obligations. Thereafter, ensure that the contractors comply with the environmental, labor, social, health and safety specifications of their respective contracts.	During preparation of procurement documents and prior to commencement of works. Procedures to be maintained throughout the Project implementation.	The PCU at PORALG, PIU at TANROADS and PIU at DCC	Prior to signing of contract and before commencement of works. Monitoring of the Contactor's compliance throughout the Project implementation.
1.5	PERMIT, CONSENTS AND AUTHORIZATIONS: Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities. Comply or cause to comply, as appropriate, with the conditions established in such permits.	Prior to commencement of Project's activities. Throughout Project implementation.	The PCU at PORALG, PIU at TANROADS and DCC PIU Contractors	Prior to the Project's implementation. Throughout the Project implementation.
ESS 2: LABOR AND WORKING CONDITIONS				

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2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Prepare and implement the Labor Management Procedures (LMP) for the Project to ensure that all requirements for implementation have been adopted. LMP will be maintained and updated as needed, depending on changing project circumstances.</p>	Throughout project implementation	<ul style="list-style-type: none"> The PCU at PORALG 	Prior to the Project's implementation.
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS:</p> <p>Develop and maintain a grievance mechanism for direct and contracted workers as well as community workers in the LMP. Ensure that the Grievance Redress Mechanism has the capacity to receive complaints on sexual harassment in the workplace and to treat them with confidentiality.</p>	<p>Prior to implementation of works and will be maintained and updated throughout the Project implementation.</p> <p>Contractors work's contract to incorporate GRM.</p> <p>Operational throughout the Project implementation.</p>	<ul style="list-style-type: none"> The PCU at PORALG, PIU at TANROADS and respective LGAs Contractors <u>Budget</u> Contractors' E&S budget. 	<p>Prior to sub-projects implementation</p> <p>Throughout the Project implementation.</p>
2.3	<p>OHS MEASURES:</p> <p>Develop and implement occupational, health and safety (OHS) measures in line with OSHA Act 2003 and the World Bank Environmental and Social Framework, including disease/pandemic prevention and contingency planning for an outbreak.</p> <p>Contractor to develop and implement a Contractor's OHS management plan as specified in the BoQ with Safety Audits carried out monthly, which should be conducted by the contractors..</p>	<p>Prior to project works implementation and be maintained throughout the Implementation period.</p>	<p>Contractor with over sight from PORALG PCU, PIU at the DCC and PIU at TANROADS <u>Budget</u></p> <ul style="list-style-type: none"> Contractors' E&S budget. <p>-PCU and PIUs to have a budget for supervising OHS</p>	Throughout the Project implementation phase.
2.4	<p>EMERGENCY PREPAREDNESS AND RESPONSE:</p> <p>As part of the OHS measures specified in 2.3, include measures on emergency preparedness and response, and ensure coordination with measures under 4.5.</p>	Prior to initiating works.	<p>Contractor with over sight from PORALG PCU. PIU at the DCC and TANROADS <u>Budget</u></p> <ul style="list-style-type: none"> Contractors' E&S 	Prior to initiating works (for the sub projects implementation period). Prior to facility handover (for the facility operation).

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			budget.	
2.5	SUB-PROJECT WORKERS TRAINING: Implement training of Sub-Project Workers on their obligations under the Code of Conduct, Sexual Exploitation and Abuse; and Sexual Harassment in the workplace.	Prior to and during works.	E&S team from PO-RALG PCU, TANROADS and PIU at the DCC Contractors Consultants From Contractor's budget	Throughout the Project implementation.
2.6	Contractor's site In-charge will provide a weekly or ad-hoc(if and when required) toolbox talks and/or meetings with their workers on ESHS risks associated with their activities, which have been executed during the past week and for those which are foreseen to be carried out during the next week.	Bidding document to include requirement of contractor to provide training as part of C-ESMP. C- ESMP to include weekly Toolbox meetings at work sites.	E&S team from PIUs Contractors Consultants	Throughout the Project implementation.
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT				
3.1	MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS: Develop and implement measures and actions to manage waste and hazardous materials.	Prior to Project's implementation. Maintained throughout Project implementation.	NEMC, TARURA- WBCU, PIU at TANROADS. • Contractors' E&S budgets.	Throughout the Project implementation.
ESS 4: COMMUNITY HEALTH AND SAFETY				
4.1	TRAFFIC AND ROAD SAFETY: In consultation with the community, develop and implement measures and actions to assess and manage traffic and road safety risks for bridge construction. Contractors will develop road safety management plan as part of the C-ESMP to address environmental, social and economic impacts on local communities at the project area.	Prior to Commencement of works. Maintained throughout construction activities.	Contractors with oversight from TARURA-WBCU, PIUs at TANROADS and PIU at the DCC Source of financing: contractors' E&S budget.	Prior to commencement of works and throughout the Project's life cycle.
4.2	COMMUNITY HEALTH AND SAFETY: Develop and implement measures and action to assess and manage specific risks (including risks of a disease/pandemic outbreak) and impacts to the community arising from Project activities, including in relation to Sub-Project Workers and any risks of labor influx.	After contract award and prior to commencement of works. Maintained throughout construction activities.	TARURA, TANROADS and contractors. • Contractors' E&S budget.	Before commencement of works and throughout the Project implementation.

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4.3	<p>GBV AND SEA RISKS: Prepare, adopt, implement a GBV Prevention and Response Plan (Specify additional funds available to implement measures).</p> <ul style="list-style-type: none"> • Conduct GBV risk assessment as part of the sub-project ESMPs; • Engage qualified institutions (e.g. NGOs, Government Hospitals etc.), to give Toolbox talks on GBV and SEA risks for continuous awareness and offer GBV (psychosocial and legal) referral services as needed. <ul style="list-style-type: none"> • Develop and train the community and workers on a grievance/ feedback management process for reporting cases on GBV and SEA. • Develop a Code of Conduct that includes worker's and contractor's obligations vis-à-vis SEA, and issues related to GBV to be signed by contractors and workers. • Define, as part of the GBV Action Plan monitoring mechanisms to ensure that all the mitigation and response measures are in place and working accordingly. 	<p>Prior to works initiation.</p> <p>Maintained throughout the Project implementation.</p>	<p>PIUs at TANROADS and LGAs as well as Contractors.</p> <ul style="list-style-type: none"> • Contractors' E&S budget. 	<p>Prior to and throughout Project implementation.</p>
4.4	<p>EMERGENCY RESPONSE MEASURES: Develop and implement measures to address emergency events and ensure coordination with measures under 2.4.</p>	<p>Prior to project initiation.</p> <p>Coordinated and maintained throughout the Project implementation whenever emergency events occur.</p>	<p>TARURA, TANROADS and contractors.</p> <ul style="list-style-type: none"> • Contractors' E&S budget. 	<p>Prior to project initiation.</p> <p>Coordinated throughout the Project's implementation.</p>
4.5	<p>COMMUNITY AWARENESS: Conduct awareness for the community designed to heighten cognizance of risks and to mitigate impacts specified in this section. Awareness raising on SEA and Sexual Harassment risks and the different mechanisms to report cases shall be part of community training.</p>	<p>Prior to and during construction works</p>	<p>LGAs Contractor</p> <ul style="list-style-type: none"> • Contractors' E&S budget. 	<p>During the project implementation.</p>
<p>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</p>				

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5.1	LAND ACQUISITION AND RESETTLEMENT: Develop, adopt and implement the Resettlement Policy Framework (RPF) and Resettlement Plan that have been prepared for the Msimbazi Basin Development Project.	Preparation is prior to project appraisal and implementation is during the project implementation.	TARURA - WBCU	Preparation is prior to project appraisal and implementation is throughout the project implementation.
5.2	RESETTLEMENT PLANS: Assess, prepare and implement resettlement action plans [RAPs] for sub projects consistent with the requirements of the <i>RPF and ESS5</i> before carrying out the associated civil works.	All subproject RAPs will be finalized and implemented prior to commencement of any works.	TARURA-WBCU, TANROADS and respective LGAs	Prior to commencement of any civil works and during implementation of works if need.
5.3	GRIEVANCE MECHANISM: Develop and implement the arrangements for the grievance mechanism for resettlement as described in the RPF and SEP in line with ESS10 requirement. Develop and disclose a grievance/ feedback management process for project affected people.	Prior to project's effectiveness. Upon finalization of sub-projects' Resettlement Action Plan.	TARURA-WBCU, TANROADS and Respective LGAs E&S Teams of PIUs	Prior to project implementation To be implemented throughout and post relocation process.
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES				
6.1	BIODIVERSITY RISKS AND IMPACTS: Develop and implement measures and actions to assess and manage risks and impacts on biodiversity, including identification of different types of habitat and circumstances in which offsets will be used.	Before subprojects commencement.	TARURA-WBCU LGAs, contractors and Consultants. • Contractors' E&S budget.	Before subprojects commencement.
ESS 8: CULTURAL HERITAGE				
8.1	CHANCE FINDS: Identify measures to address risks and impacts on cultural heritage	Before subprojects commencement and maintained throughout the Project implementation.	TARURA WBCU, TANROADS and LGAs PIUs Contractors Consultants	Before subprojects commencement.
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE				
10.1.1	SEP IMPLEMENTATION: Implement the SEP and report on stakeholder consultation in the implementation of the project in the Monthly Progress Reports (MPRs) and Quarterly reports. Adopt the SEP for all sub-projects.	Throughout the Project implementation.	TARURA WBCU, TANROADS and LGAs PIUs.	Throughout the Project implementation.

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10.1.2	INCLUSION: The Msimbazi Basin Development Project will ensure that all stakeholders (as defined in the Stakeholder Engagement Plan) are able to be engaged in consultations during the planning and implementation stages of the Project.	Throughout the Project implementation.	TARURA-WBCU, TANROADS and respective LGAs	Throughout the Project implementation.
10.2	Establish, maintain and operate a grievance mechanism as specified in the SEP to ensure reception and timely response to any complaints made about the Project. The GRM shall ensure stakeholders place complaints with confidentiality. A trained operator shall conduct registration of complaints, and survivors will be referred to services respecting their wishes and rights.	The grievance mechanism will be established prior to commencement of the Project, and will be maintained and operated throughout the project implementation.	TANROADS and LGAs	Throughout the Project implementation.
Capacity Support				
Specify Training to be provided		Specify Targeted Groups and Timeframe for Delivery	Specify Training Completed	
Training may be required in but limited to: <ul style="list-style-type: none"> Occupational health and safety; Gender mainstreaming in construction works; Implementation and monitoring of HIV/AIDS, STIs and STDs and preventive measures towards pandemic diseases such as Covid 19; Environment and social risks management; Grievances management implementation and monitoring Monitoring of ESMP Land acquisition and resettlement; 		<ul style="list-style-type: none"> TARURA WBCU and TANROADS E&S teams PIU at each LGAs Some officials at ward level Contractors and Consultants staff The trainings should be conducted as part of Project preparation and on biannual basis during project implementation	None	

TBD: To be Determined.

DCC: Dar Es Salaam City Council – Formerly known as Ilala Municipal Council, the PIU will have staff from other LGAs that include Kinondoni, Ubungu, Kisarawe and the DCC